## SUBCHAPTER C—CONTRACT METHODS AND CONTRACT **TYPFS**

#### PART 3013—SIMPLIFIED ACQUSITION PROCEDURES

AUTHORITY: 41 U.S.C. 418b (a) and (b). SOURCE: 68 FR 67871, Dec. 4, 2003, unless otherwise note.

#### Subpart 3013.70 [Reserved]

#### PART 3014—SEALED BIDDING [RESERVED]

#### PART 3015—CONTRACTING BY **NEGOTIATION**

#### Subpart 3015.2—Solicitation and Receipt of **Proposals and Information**

3015.204-3 Contract clauses.

3015.207-70 Handling proposals and informa-

#### Subpart 3015.6—Unsolicited Proposals

3015.602 Policy.

3015.603 [Reserved]

3015.604 Agency points of contact.

3015.606 Agency procedures.

3015.606-1 Receipt and initial review. 3015.606-2 Evaluation.

AUTHORITY: 41 U.S.C. 418b (a) and (b).

SOURCE: 68 FR 67871, Dec. 4, 2003, unless otherwise note.

#### Subpart 3015.2—Solicitation and Receipt of Proposals and Information

#### 3015.204-3 Contract clauses.

The contracting officer shall insert clause (HSAR) 48 CFR 3052.215-70, Key Personnel or Facilities, in solicitations and contracts when the selection for award is substantially based on the offeror's possession of special capabilities regarding personnel or facilities.

#### 3015.207-70 Handling proposals and information.

(b) Proposals and information may be released outside the Government for evaluation and similar purposes if qualified personnel are not available to

thoroughly evaluate or analyze proposals or information. The contracting officer shall document the file in such cases.

#### Subpart 3015.6—Unsolicited **Proposals**

#### 3015.602 Policy.

The Department of Homeland Security (DHS) encourages new and innovative proposals and ideas that will sustain or enhance the DHS mission.

[71 FR 25770, May 2, 2006]

#### 3015.603 [Reserved]

#### 3015.604 Agency points of contact.

(a) The DHS does not have a central clearinghouse for distributing information or assistance regarding unsolicited proposals. Each HCA is responsible for disseminating the information required at (FAR) 48 CFR 15.604(a). General information concerning DHS's scope of responsibilities and functions is available at http://www.dhs.gov/dhspublic/.

#### 3015.606 Agency procedures.

- (a) The agency authority to establish procedures for receiving, reviewing and evaluating, and timely disposing of unsolicited proposals, consistent with the requirements of (FAR) 48 CFR 15.6 and this subpart, is delegated to each HCA.
- (b) The agency authority to establish points of contact (see (FAR) 48 CFR 15.604) to coordinate the receipt and handling of unsolicited proposals is delegated to each HCA. Contracting offices are designated as the receiving point for unsolicited proposals. Persons within DHS (e.g., technical personnel) who receive proposals shall forward them to their cognizant contracting of-

#### 3015.606-1 Receipt and initial review.

- (a) The agency contact point shall make an initial review determination within seven calendar days after receiving a proposal.
- (b) If the proposal meets the requirements at (FAR) 48 CFR 15.606-1(a), the

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agency contact point shall acknowledge receipt within three calendar days after making the initial review determination and advise the offeror of the general timeframe for completing the evaluation.

(c) If the proposal does not meet the requirements of (FAR) 48 CFR 15.606–1(a), the agency contact point shall return the proposal within three calendar days after making the determination. The offeror shall be informed, in writing, of the reasons for returning the proposal.

#### 3015.606-2 Evaluation.

Comprehensive evaluations (a.) should be completed within sixty calendar days after making the initial review determination. If additional time is needed, then the agency contact point shall advise the offeror accordingly and provide a new evaluation completion date. The evaluating office shall neither reproduce nor disseminate the proposal to other offices without the consent of the contracting office from which the proposal was received for evaluation. If the evaluating office requires additional information from the offeror, the evaluator shall convey this request to the responsible contracting office. The evaluator shall not directly contact the proposal originator.

(b) If the evaluators recommend accepting the proposal, the responsible contracting officer shall ensure compliance with all of the requirements of (FAR) 48 CFR 15.607.

### PART 3016—TYPES OF CONTRACTS

#### Subpart 3016.1—Selecting Contract Types

3016.170 Contracts with Lead System Integrators.

#### Subpart 3016.2—Fixed-Price Contracts

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3016.203 Fixed-price contracts with economic price adjustment. 3016.203-4 Contract clauses.

3016.203-470 Solicitation provision.

#### Subpart 3016.4—Incentive Contracts

3016.406 Contract clauses.

## Subpart 3016.5—Indefinite-Delivery Contracts

3016.505 Ordering.

#### Subpart 3016.6—Time-and-Materials, Labor-Hour, and Letter Contracts

3016.603 Letter contracts. 3016.603-4 Contract clauses.

AUTHORITY: 5 U.S.C. 301-302, 41 U.S.C. 418b(a) and (b), 41 U.S.C. 414, 48 CFR part 1, subpart 1.3, and DHS Delegation Number 0700

Source: 68 FR 67871, Dec. 4, 2003, unless otherwise note.

# Subpart 3016.1—Selecting Contract Types

SOURCE: 75 FR 41100, July 15, 2010, unless otherwise noted.

## 3016.170 Contracts with Lead System Integrators.

The contracting officer should negotiate the most appropriate contract type and fee structure based on risks inherent in the work to be performed, in accordance with (FAR) 48 CFR 16.103(a). Contract type and fee structure should be commensurate with the work to be performed and the risks assumed. Worthwhile existing guidance on contract type selection, pricing, and fee structures, such as exists in Vol. I, Ch. 4 of the Contract Reference Pricing Guides [http://www.acq.osd.mil/dpap/cpf/ docs/contract pricing finance guide/ vol4 ch1.pdf can be consulted to determine the appropriate contract type and fee structure for use in varied contracts with lead system integrators in production, fielding the sustainment of complex systems.

# Subpart 3016.2—Fixed-Price Contracts

# 3016.203 Fixed price contracts with economic price adjustments.

#### 3016.203-4 Contract clauses.

(d)(2) Any clause using this method shall be prepared and approved by the contracting officer.

## 3016.203-470 Solicitation provision.

The contracting officer shall insert a provision substantially the same as